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U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 8023-1023

03917 U.S. PTO
10/7/14895

111803

Only for new nonprovisional applications under 37 CFR 1.53(b))

To the Assistant Commissioner of Patents
Transmitted herewith for filing is the patent application of:

Inventors: **Takami IWAFUJI**

Corresponding to **Japanese** Patent Application No. **2002-341609** filed on **November 26, 2002**, the entirety (entireties) of which application(s) is (are) hereby expressly incorporated by reference in the accompanying application.

Title: **SEMICONDUCTOR LASER CHIP UNIT AND SEMICONDUCTOR LASER MODULE USING THE SAME**

Enclosed are:

- ☒ **33** Pages of Specification containing 18 claims
- ☒ **7** Sheets of formal Drawings ☒ Abstract of the Disclosure
- ☐ Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)
- ☒ Newly executed Oath or Declaration/Power of Attorney
- ☐ Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)
 - ☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
 - ☐ Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Application Data Sheet under 37 C.F.R. § 1.76
- ☒ Assignment Papers (cover sheet & document(s))
- ☐ An assignment to _____ for parent application no. _____ was recorded on _____ at Reel _____, Frame _____
- ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☒ Copy of IDS citations
- ☐ Preliminary Amendment
- ☒ Itemized Return Receipt Postcard
- ☒ Certified Copy of Priority Document(s)
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
- ☐ Other Attachment: _____

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|---|-------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL (continued) | Attorney Docket No. 8023-1023 |
| If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of Prior Appln. # | |
| Prior Application Information: Examiner: Tech Center: | |
| CORRESPONDENCE INFORMATION | |
| Customer Number: 00466 | |
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The filing fee has been calculated as follows:

| FOR | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY | | LARGE ENTITY | |
|------------------------------|------------------|--------------|--------------|----------|--------------|------------------------|
| | | | RATE | FEE | RATE | FEE |
| BASIC FEE | | | | \$385.00 | | \$770.00 |
| TOTAL CLAIMS | <u>18</u> - 20 = | <u>0</u> | \$9.00 | \$_____ | \$18.00 | \$_____ |
| INDEPENDENT CLAIMS | <u>2</u> - 3 = | <u>0</u> | \$43.00 | \$_____ | \$86.00 | \$_____ |
| MULTIPLE DEPENDENT CLAIM FEE | | | \$145.00 | \$_____ | \$290.00 | \$_____ |
| TOTAL SMALL ENTITY | | | | \$_____ | | |
| TOTAL LARGE ENTITY | | | | | | <u>\$770.00</u> |

- ☒ A Check in the amount of **\$810.00** to cover all fees is attached.
- ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.



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BC/ia
 Date: **Novemb r 18, 2003**